



NORFACE Research Programme 2008:

Migration in Europe – Social, Economic, Cultural and Policy Dynamics

GUIDELINES FOR APPLICANTS

FULL PROPOSAL PHASE

Migration has become a very high level social, economic and policy concern right across Europe. Persistent rates of international migration have become an essential element of the political and economic globalization process. Questions of high societal and political relevance have been raised in connection with these developments. There is also a significant body of research in this area, within individual European countries, at the European level, and from other global regions notably, though not only, North America. But the body of research is not yet a coherent cumulative and grounded body of knowledge which allows us to understand more fully the current **economic and social dynamics of migration**, their impact and, even more importantly, their potential future impact on society, economy and polity. There is a critical need therefore to raise the level of European research to address these issues with **a major integrated, and synergetic programme at the European level.**

In Europe there is a great need to build a new synergetic body of research which will contribute strongly to our *theoretical* understanding and knowledge in the area of migration research. The proposed research topics are designed to address this need through theory-guided, comparative, multi-level and time-referenced studies especially in relatively unexplored areas, or fields with unresolved issues. The proposed programme emphasises three main themes:

Three main themes:

- Migration - Causes and Consequences,
- Integration,
- Cohesion and Conflict.

These areas and the issues taken up in them should not be conceived as separate phenomena but as parts of a more general social process. Different theoretical approaches and methodological procedures are necessary, making it indispensable for researchers to be aware of and compile contributions stemming from diverse social, economic and behavioural science disciplines. These include demography and geography, history, anthropology and ethnography, psychology, social psychology, language and cultural sciences, economics, political science, law, and sociology - in other words, almost the entire spectrum of the social sciences and related areas.

Within the topic of **international and internal European migration** there are various especially important questions. These relate to the amount of migration flows between certain sending and receiving countries and regions; the characteristics and motives of migrants; their (formal) status (e.g. as asylum seekers, refugees, workers, students, family members, citizens, undocumented or "illegal" migrants); the driving forces (e.g. economic, political, religious) and structures of migrations (e.g. individual, household or chain migration), and the effects on both sending and receiving countries.

The effects of increasing international migration are obvious to all citizens of Europe, whether conceived of as highly productive and innovative, or as raising various kinds of concerns among them. Although evidently contributing to growth and cultural enrichment, migration also puts strains on our societies, challenging societal integration and cohesiveness, and threats of destructive conflicts between majorities and minorities or between different minorities. An indispensable prerequisite to any solution is a detached scientific approach to these processes. The problems and issues pertain directly to a comprehension of the various types and causes of migration, as well as to the conditions and mechanisms behind the individual, economic and social consequences of international migration in both the **receiving countries** and the **sending countries**.

Some of the most crucial problematic effects of migration concern the classical question of *integration* of migrants and their host societies. The term “integration” refers to two conceptually different aspects: firstly, the *social integration* of individual migrants and their families as inclusion into (vs. exclusion from) central areas of the receiving countries (over and beyond the generations), touching above all on issues of social and ethnic inequality, for instance in education, the labour market, and access to relevant networks and public institutions; and secondly, the *systems integration* of (complete) societies which refers to issues of both economic and labour market integration, and social cohesion in the wake of newly risen social and ethnic differentiations, boundaries, and perhaps even manifest conflicts due to cultural, ethnic, and religious differences. Both aspects are (more or less) interrelated and connected to the migration process itself.

For full programme text please refer to the NORFACE Research Programme Specification.

What is NORFACE ERA-NET?

NORFACE stands for *New Opportunities for Research Funding Agency Cooperation in Europe*. NORFACE receives core funding under the European Union’s ERA-NET scheme. ERA-NET is a mechanism introduced in the EU’s Sixth Framework Programme to support collaborative working among national research agencies and programmes in furtherance of the goal of establishing a European Research Area.

NORFACE ERA-Net brings together funding agencies in 13 countries, in an effort to build a transnational collaborative framework within which national resources can be pooled to commission and deliver world-class social science on a continental scale. The work plan for NORFACE specifically includes the launching of a large-scale Transnational Research Programme in 2008.

NORFACE Partner Agencies

NORFACE Partner Agencies are:

- **Austria:** Austrian Science Fund (FWF)
- **Denmark:** Danish Social Science Research Council (DSSRC)
- **Estonia:** Estonian Science Foundation (EstSF)
- **Finland:** Academy of Finland (AKA)
- **Germany:** Deutsche Forschungsgemeinschaft (DFG)
- **Iceland:** Icelandic Centre for Research (RANNÍS)
- **Ireland:** Irish Research Council for the Humanities & Social Sciences (IRCHSS)
- **Netherlands:** Netherlands Organisation for Scientific Research (NWO)
- **Norway:** Research Council of Norway (RCN)
- **Portugal:** Fundação para a Ciência e a Tecnologia (FCT)
- **Slovenia:** Slovenian Research Agency
- **Sweden:** Swedish Research Council (VR)
- **United Kingdom:** Economic and Social Research Council (ESRC)

Associate Partner Agencies:

- **Canada:** Social Sciences and Humanities Research Council (SSHRC)
- **France:** Agence Nationale de la Recherche (ANR)

Please note that research teams based in Canada and France should be included in the proposals as Co-operation Partners. No funding can be applied to them from the NORFACE research programme.

Objectives of the Programme

The NORFACE Research Programme has the following objectives:

- To advance globally excellent theoretical and methodological disciplinary, inter-disciplinary and comparative research on migration which builds synergetically on a pan-European basis
- To take advantage of and develop the informal laboratory of experience, knowledge and data which migration in Europe currently presents
- To motivate and support excellence and capacity building for research on migration on a cross-national basis throughout the NORFACE countries
- To develop understanding and promote research-based knowledge and insight into migration for issues of societal, practical and policy relevance, with theoretical foundations but worked on jointly with relevant users and experts.

Each project team should strive to include researchers at different stages in their careers, including post-doctoral and PhD students, as participants in the project. NORFACE strives to promote gender balance, and encourages in particular women researchers to apply.

Eligibility criteria of the research teams

Each project must be composed of research teams based at universities or research institutes in **three or more** different **NORFACE countries**.

Principal Investigator's role

Principal Investigator (PI) will be a senior researcher responsible for carrying out the project. S/he will be the contact point with NORFACE on behalf of all the applicants. In addition, the Principal Investigator is responsible for leading project activities at his/her own institution. The Principal Investigator must be based in an institution situated in a NORFACE country.

The Principal Investigator can participate as a PI in one proposal only. The PI may participate as Co-Applicant in other proposals. It is not allowed to change the Principal Investigator in the Full Proposal stage. In the Full Proposal stage NORFACE will pay attention to how applicants participating in several projects are going to distribute their time between different projects they participate in.

Co-applicants' role

Each Co-applicant is responsible for leading project activities at his/her own institution. Co-applicant status is not limited to researchers at any specific career stage after completing PhD. Each Co-applicant should be based in an institution situated in a NORFACE country. There may be more than one co-applicant from any one country.

Researchers can participate as Co-Applicants in several proposals. In the Full Proposal stage NORFACE will pay attention to how applicants participating in several projects are going to distribute their time between different projects they participate in.

Co-Applicants may be added in the Full Proposal stage. As a general rule, Co-Applicants should not be removed or changed in the Full Proposal stage. However, if necessary, Co-Applicants may be replaced or removed in the Full Proposal stage.

Researchers from countries from which the migration originates

Research teams based in NORFACE countries may include researchers based in countries from which the migration originates. The costs of the researchers/research teams based in the countries from which the migration originates (such as salaries, travel costs etc.) must be covered from budget of a research team based in a NORFACE country. The applicants have to show in their application the relevance of the participation of the researchers from countries from which the migration originates. The participation of these researchers has to link to the theme of the proposal.

Researchers from non-NORFACE countries (Co-operation partners)

Researchers from non-NORFACE countries are allowed to participate in the projects as Co-operation partners. However, no funding can be applied for them from the NORFACE programme. In the Full Proposal stage the applicants are asked to indicate the sources from which the participation of the Co-operation partners will be funded.

The Social Sciences and Humanities Research Council in Canada and **Agence Nationale de la Recherche in France** are associate partners of NORFACE. Canadian and French teams must be included in the projects as Co-operation Partners. The research teams from Canada and France are invited to contact the contact persons in their national research councils for information about funding. Contact details are available under “National Contact Points”.

As a general rule, Co-operation Partners should not be removed or changed in the Full Proposal stage. However, if necessary, Co-operation Partners may be replaced or removed in the Full Proposal stage.

Funding available

The minimum funding awarded will be 500.000 € and the maximum 4.000.000 €. NORFACE seeks to fund a balance of smaller and **a limited number of large projects**. Applications asking for less than 500.000 € or more than 4.000.000 € will not be accepted.

The applicants are asked to give justification for overall level of funding requested in respect of the value added of the proposed research.

Submission of proposal

Applications to the NORFACE Research Programme will be processed in two stages. In the second stage, **Full Proposals** are invited with a deadline of **30th January 2009 at 17:00 CET**.

Full Proposals:

All Full Proposals must be completed in English. The applications must be submitted using the NORFACE Electronic Proposal Submission System at: <http://www.norface.org> The system includes guidelines for filling in the requested information. These include:

A **project summary** of no more than 2000 characters should be uploaded and is recommended to include the following information:

- Relevance of the research topic to the Call
- Objectives / expected outcomes of the project
- Explanation on how the project would be implemented

The summary will be made public if the project is funded by NORFACE.

A **full research plan** of no more than 7000 words in pdf document must be uploaded. Full Research Plans exceeding 7000 words (including all three parts explained below) **will be automatically rejected**.

The Full Research Plan must include the following:

1. Cover page with

- Project acronym and title
- Principal Investigator (name, institute, country)
- List of Partners (name, institute, country)

2. Project description

The project description should explain in clear language and **in the following order**:

A Research proposal

- ⇒ What research question does this proposal seek to answer? What are the objectives of the project? In what ways is the project innovative and how does it add to the existing literature? Why is this research question significant?
- ⇒ How will the proposed research contribute to the themes of this Call?
- ⇒ What will be gained by undertaking this research as a collaborative project with the proposed Participants?
- ⇒ By what research design and methodology will the research questions be addressed?
- ⇒ Is the project linked to current national / international projects?
- ⇒ If your research project collects primary data, please give details about data collection procedures. If you collect quantitative data, please add a variable list and, if possible, a preliminary questionnaire. Please explain why primary data collection is necessary, and why you cannot rely on existing data sources.

B Organisation of research, project team and management

- ⇒ How will the project participants contribute to the project? What research expertise and competence do the participants bring to the project proposal?
- ⇒ What is the work plan?
- ⇒ What are the management arrangements for the research collaboration?
- ⇒ How is the project team composed (for example researchers at different stages in their careers, gender, disciplines)?

C Potential impact of research

- ⇒ What are the expected outcomes and impacts of the research project?
- ⇒ Who are the potential users, academic and non-academic, of your research?
- ⇒ How do you intend to communicate your research activities to the potential users?

D Justification of the budget

- ⇒ Justification for overall level of funding requested in respect of the value added of the proposed research
- ⇒ If your research project collects primary data, please justify the costs.

3. Literature list

Please list the main references used.

Eligible costs:

Each applicant can ask funding for personnel costs, consumables, travel costs, equipment and subcontracting in accordance with the relevant national research funding rules. **However, the calculation of overheads in the project does not follow the national funding rules. A 20% overhead cost is automatically added by the EPSS programme to all project costs in the application, except subcontracting.** Costs per each calendar year have to be specified. In case of doubt, applicants should consult the NORFACE Coordination Office or their respective partner agencies who can advise on funding rules.

The closing date for Full Proposals is
Friday 30th January 2009 at 17:00 CET

Evaluation of the Proposals

Eligible Full Proposals will be reviewed both by a minimum of two external referees and by an International Evaluation Panel, comprising experts nominated by the NORFACE partners. The Panel will recommend to the NORFACE Network Board a shortlist of projects to be granted funding.

Principal Investigators invited to submit a Full Proposal have been asked to nominate maximum two external referees who they wish to review their proposal and maximum two external referees who they do not wish to review their proposals. Whenever possible Full Proposal will be reviewed by one external referee proposed by the Principal Investigator.

Principal Investigators of the Full Proposals will receive **by 12th March 2009** the reviews by the external referees. The Principal Investigators will be given two weeks time to comment on the reviews. The assessments received from the external referees and from the panel members and the comments received from the applicant will form the starting point for a joint review carried out by an International Evaluation Panel.

The Panel will prepare a consensus evaluation report on each Full Proposal based on the applications, the external reviews, the panel member evaluations and the comments by the Principal Investigator. The

applicant will receive the consensus report of the Evaluation Panel as feedback after the final funding decisions.

The International Panel will evaluate **Full Proposals** according to the following criteria:

Scientific Quality

- originality and contribution to knowledge
- feasibility and appropriateness of conceptual approach
- feasibility of aims and objectives of project
- feasibility and suitability of research design and methodology

Organisation of research

- feasibility and appropriateness of proposed timescale
- appropriateness of costings
- is the research proposed overall good value for money for the total amount involved?
- appropriateness of approach to data handling aspects, potential ethical issues and intellectual property rights

Project team and management

- suitability of expertise, balance of substantial contributions of members to project team
- appropriateness of management arrangements for project
- scientific track record of team members
- composition of team (gender, stage in career, discipline)

Potential impact of research

- extent to which research is likely to be of value user communities
- suitability of proposed arrangements for disseminating and communicating outcomes of research

The scientific quality is the most important criterion.

Funding decisions

The Network Board will make funding decisions in June 2009. For each funded project, one single award will be made to the Administering Institution of the Principal Investigator. The Administering Institution will be responsible for distributing funds to all partner institutions.

Project duration

Projects must have the duration of **at least 24 months and at the most 48 months** in the period between August 2009 and September 2013. They should terminate no later than 30th September 2013.

Programme Coordination

The scientific coordination of the programme is seen as very important for creating added value to the researchers involved in the programme. The programme will be supervised and directed by an academic Programme Director appointed specifically for the purpose. The Programme Director will be responsible for ensuring the integration of the various funded projects into a whole which is more than the sum of its parts, for ensuring good cross-communication and synergy between projects, and appropriate engagement with the wider non-academic community involved in migration issues.

The Programme Director, Professor Christian Dustmann, was appointed in June 2008. The core duties of the Programme Director will include:

- Working with the various project teams to ensure that they optimise the quality of their research and develop it as expected within the programme
- Bringing the various teams together to work synergetically, and take advantage of the programme collaborators in developing their own work
- Encouraging good communications from the various research teams both within academia and with related professional organisations in government, business and the voluntary sector.
- Encouraging the highest quality of publications from the research.

The contract between NORFACE and the Principal Investigator will state that the Principal Investigator of each funded project will co-operate with the Programme Director.

Timetable

30th January 2009	Submission deadline for Full Proposals (at 17:00 CET)
By 12th March 2009	Applicants receive external reviews for comments
27th March 2009	Deadline for receiving comments from the applicants
late June 2009	Final funding decisions and feedback on the Full Proposals to all applicants
July-September 2009	Negotiations between the Principal Investigators and the NORFACE Coordination Office regarding the contract, reporting, etc.
August-September 2009	The NORFACE Research Programme and the Projects begin

Further information

If you need additional information please contact the **NORFACE Coordination Office at the Academy of Finland**:

- Ms. Eili Ervelä-Myréen, Programme Manager, NORFACE Network Coordinator
eili.ervela-myreen@aka.fi Tel. +358 9 7748 8412 Mobile tel. +358 40 730 4726
- Ms. Satu Huuha-Cissokho, Science Adviser, NORFACE Network Secretary
satu.huuha-cissokho@aka.fi Tel. +358 9 7748 8488 Mobile tel. +358 40 730 4676

National Contact Points

The following contact persons from the participating national research councils and agencies are available for introductory questions to the Research Programme:

- **The Austrian Science Fund** – Christoph Bärenreuter christoph.baerenreuter@fwf.ac.at Tel. +43 1 505 67 40 8702
- **The Danish Social Science Research Council** – Lars Christensen lach@fi.dk Tel. +45 3544 6265
- **The Economic and Social Research Council (ESRC)** – Stephen Struthers stephen.struthers@esrc.ac.uk Tel. +44 1793 413 037
- **The Estonian Science Foundation** – Kati Kio kati@etf.ee Tel. +372 699 6215
- **Deutsche Forschungsgemeinschaft** – Philip Thelen philip.thelen@dfg.de Tel. +49 228 885 2878
- **The Icelandic Centre for Research (RANNÍS)** – Magnús Lyngdal Magnússon magnus@rannis.is Tel. + 354 515 5818
- **The Irish Research Council for Humanities and Social Sciences (IRCHSS)** – Sorcha Carthy scarthy@irchss.ie Tel. + 353 1 6603 652
- **The Netherlands Organisation for Scientific Research (NWO)** – Berry Bonenkamp bonenkamp@nwo.nl Tel. +31 70 344 0950
- **The Research Council of Norway** – Tobias B. Strøm tbs@forskningsradet.no Tel. + 47 2203 7532
- **Portugal, Fundação para a Ciência e a Tecnologia** – Maria João Corte Real mj.cortereal@fct.mctes.pt Tel. +351 2139 24381
- **The Slovenian Research Agency** – Tomaž Boh tomaz.boh@arrs.si Tel. +386 1 400 5968
- **The Swedish Research Council** – Lisbeth Söderqvist lisbeth.soderqvist@vr.se Tel. +46 8 546 44211

Contact person in Canada:

- **Social Sciences and Humanities Research Council** – Mika Oehling mika.oehling@sshr.ca Tel. +1 613 992 4227

Contact person in France:

- **Agence Nationale de la Recherche** – Pierre-Olivier Pin pierre-olivier.pin@agencerecherche.fr Tel. +33 1 7809 8000

CHECKLIST

Before submitting your final application to the NORFACE Research Programme please check the following details:

- Your project includes research teams in a minimum of three NORFACE partner countries. The NORFACE countries are: Austria, Denmark, Estonia, Finland, Germany, Iceland, Ireland, The Netherlands, Norway, Portugal, Slovenia, Sweden and United Kingdom.
- In case your project includes partners from Canada or France, you have registered them as Co-operation Partners and you have not asked funding for them.
- In case your project includes partners from other countries outside NORFACE, you have registered them as Co-operation Partners and you have not asked funding for them.
- You have registered the researchers based in countries from where migration originates as part of the Principal Investigator's or Co-Applicants' research groups.
- The total budget of your project is not below 500.000 € and does not exceed 4.000.000 €.
- You have included in your budget only eligible costs, i.e. personnel costs, consumables, travel costs, equipment and sub-contracting, and you have specified a budget for each year.
- Your Full Research Plan is downloaded in pdf-format and its length does not exceed 7000 words all three parts included.
- You submit your proposal before the deadline **30th January 2009, 17:00 CET**.